

# APPLICATION FORM

**APPLICATION FORM**

**Please complete, sign and return to:**  
 Citibank Europe plc, Luxembourg Branch  
 31, Zone d'Activités Bourmicht  
 L-8070 Bertrange  
 Luxembourg  
 Fax: +352 45 14 14 852

As the Company's Custodian and Paying Agent,  
 Central Administration Agent, Registrar and  
 Transfer Agent

**Note**

**If this form is sent by fax it must subsequently be forwarded by post within five business days.  
 This subscription form should be read in conjunction with the latest Prospectus of the Company.**

**Telegraphic transfer information**

For any payment in a currency other than the share class currency, the administrator will carry out a foreign exchange transaction.

For US dollars – USD

Pay to	Citibank, N.A. New York
ABA no.	021 0000 89
Swift code	CITUS33
For the account of	Citibank Europe plc, Luxembourg Branch
Account no	10957463
For further credit	0252636001 William Blair SICAV TA Collecting FFC WBF deal reference

For the Euro, and any  
 component currency  
 of the Euro

Pay to	<b>Citibank Europe PLC Dublin</b>
Swift code	<b>CITIE2X.</b>
For the account of	Citibank Europe plc, Luxembourg Branch
Account	IE24 CITI 9900 5123 9470 05
For further credit	0252636003 William Blair SICAV TA Collecting FFC WBF deal reference

For British Pound – GBP

Pay to	Citibank, N.A. London
Sort code	18-50-08
Swift code	CITIGB2L
For the account of	Citibank Europe plc, Luxembourg Branch
Account no	557935
For further credit	0252636002 William Blair SICAV TA Collecting FFC WBF deal reference

For Swiss Francs – CHF

Pay to	Citibank, N.A. London
Swift code	CITIGB2L
For the account of	Citibank Europe plc, Luxembourg Branch
Account no	GB08 CITI 1850 0810 5704 51
For further credit	0252636004 William Blair SICAV TA Collecting

For any payment in a currency other than the share class currency, the administrator will carry out a foreign exchange transaction.

For Norwegian Krone – NOK	Pay to Swift code For the account of Account no For further credit	DNB NOR BANK OSLO DNBANOKK CITILULX NO6070020209052 0252636005 William Blair SICAV TA Collecting FFC WBF deal reference
For Swedish Krone – SEK	Pay to  Swift code For the account of Account no For further credit	SKANDINAVISKA ENSKILDA BANKEN ESSESESS CITILULX 52018533398 0252636006 William Blair SICAV TA Collecting FFC WBF deal reference
For Australian Dollar – AUD	Pay to Swift code For the account of Account no For further credit	Citibank Sydney CITIAU2X CITILULX 912057003 0252636007 William Blair SICAV TA Collecting FFC WBF deal reference

Applicants who wish to invest in other currencies should contact the administrator to obtain details of the relevant subscription accounts.

**Payment of the investment by telegraphic transfer**

For details of correspondent banks please see above. Please pay from an account in your own name and by telegraphic transfer, without charge for the beneficiary.

Debiting the account of Name \_\_\_\_\_ Please give your name and account number with your bank

A/C Number \_\_\_\_\_

Date \_\_\_\_\_ State settlement date for your deal

The sum of Currency \_\_\_\_\_ Amount \_\_\_\_\_ State currency and amount you want to invest

Pay to Name \_\_\_\_\_ Give the name and account number of the correspondent bank listed above for the currency in which you want to invest (see page 2)  
A/C Number \_\_\_\_\_

\_\_\_\_\_ Give the account name of the appropriate bank  
IBAN \_\_\_\_\_

For the account \_\_\_\_\_ Write 'WB – and your name'

Reference \_\_\_\_\_ All joint holders must sign

Signature(s) \_\_\_\_\_

**Subscription details**

I want to invest in and to pay:

(Please state investment amount and currency)

**William Blair U.S. Small-Mid Cap Growth Fund**

Share Class A	<input type="text"/>	USD
Share Class A – Hedged	<input type="text"/>	CHF
Share Class A - Distributing	<input type="text"/>	GBP
Share Class B	<input type="text"/>	USD
Share Class B – Hedged	<input type="text"/>	CHF
Share Class D	<input type="text"/>	USD
Share Class I	<input type="text"/>	USD
Share Class I - Distributing	<input type="text"/>	GBP
Share Class J	<input type="text"/>	USD
Share Class J – Hedged	<input type="text"/>	CHF
Share Class J - Distributing	<input type="text"/>	GBP
Share Class R	<input type="text"/>	USD
Share Class R – Hedged	<input type="text"/>	CHF
Share Class R –Distributing	<input type="text"/>	GBP
Share Class Z	<input type="text"/>	USD

**William Blair Emerging Markets Growth Fund**

Share Class A	<input type="text"/>	USD
Share Class B	<input type="text"/>	USD
Share Class D	<input type="text"/>	USD
Share Class I	<input type="text"/>	USD
Share Class J	<input type="text"/>	USD
Share Class R	<input type="text"/>	USD
Share Class Z	<input type="text"/>	USD

**William Blair U.S. All Cap Growth Fund**

Share Class A	<input type="text"/>	USD
Share Class B	<input type="text"/>	USD
Share Class D	<input type="text"/>	USD
Share Class I	<input type="text"/>	USD
Share Class J	<input type="text"/>	USD
Share Class R	<input type="text"/>	USD
Share Class Z	<input type="text"/>	USD

**William Blair Global Leaders Fund**

Share Class A	<input type="text"/>	USD
Share Class A	<input type="text"/>	EUR
Share Class A	<input type="text"/>	GBP
Share Class B	<input type="text"/>	USD
Share Class D	<input type="text"/>	USD
Share Class D - Hedged	<input type="text"/>	NOK

I want to invest in and to pay:

(Please state investment amount and currency)

**William Blair Global Leaders Fund (to continue)**

Share Class I	<input type="text"/>	GBP
Share Class I - Hedged	<input type="text"/>	NOK
Share Class J	<input type="text"/>	USD
Share Class J	<input type="text"/>	EUR
Share Class J	<input type="text"/>	GBP
Share Class R	<input type="text"/>	USD
Share Class R	<input type="text"/>	EUR
Share Class R	<input type="text"/>	GBP
Share Class Z	<input type="text"/>	USD
Share Class Z- Distributing	<input type="text"/>	USD
Share Class Z- Hedged	<input type="text"/>	NOK
Share Class Z- Hedged - Distributing	<input type="text"/>	NOK
Share Class Z	<input type="text"/>	AUD

**William Blair Emerging Markets Leaders Fund**

Share Class A	<input type="text"/>	USD
Share Class B	<input type="text"/>	USD
Share Class D	<input type="text"/>	USD
Share Class D - Hedged	<input type="text"/>	NOK
Share Class I	<input type="text"/>	USD
Share Class I - Hedged	<input type="text"/>	NOK
Share Class J	<input type="text"/>	USD
Share Class J - Distributing	<input type="text"/>	GBP
Share Class R	<input type="text"/>	USD
Share Class R -Distributing	<input type="text"/>	GBP
Share Class Z	<input type="text"/>	USD
Share Class Z- Hedged	<input type="text"/>	NOK
Share Class Z	<input type="text"/>	AUD

**William Blair Emerging Markets Small Cap Growth Fund**

Share Class A	<input type="text"/>	USD
Share Class B	<input type="text"/>	USD
Share Class D	<input type="text"/>	USD
Share Class I	<input type="text"/>	USD
Share Class J	<input type="text"/>	USD
Share Class R	<input type="text"/>	USD
Share Class Z	<input type="text"/>	USD

If you already invested in William Blair SICAV, please insert your client account number

I want to invest in and to pay:

(Please state investment amount and currency)

**William Blair Dynamic Diversified Allocation Fund**

Share Class A	<input type="text"/>	USD
Share Class A - Hedged	<input type="text"/>	EUR
Share Class A- Hedged	<input type="text"/>	GBP
Share Class A - Hedged	<input type="text"/>	SEK
Share Class A - Hedged	<input type="text"/>	CHF
Share Class B	<input type="text"/>	USD
Share Class B - Hedged	<input type="text"/>	EUR
Share Class B- Hedged	<input type="text"/>	GBP
Share Class B- Hedged	<input type="text"/>	SEK
Share Class B - Hedged	<input type="text"/>	CHF
Share Class D	<input type="text"/>	USD
Share Class D - Hedged	<input type="text"/>	EUR
Share Class D - Hedged	<input type="text"/>	GBP
Share Class D - Hedged	<input type="text"/>	SEK
Share Class D - Hedged	<input type="text"/>	NOK
Share Class D - Hedged	<input type="text"/>	CHF
Share Class I	<input type="text"/>	USD
Share Class I - Hedged	<input type="text"/>	EUR
Share Class I - Hedged	<input type="text"/>	GBP
Share Class I - Hedged	<input type="text"/>	SEK
Share Class I - Hedged	<input type="text"/>	NOK
Share Class I - Hedged	<input type="text"/>	CHF
Share Class J	<input type="text"/>	USD
Share Class J - Hedged	<input type="text"/>	EUR
Share Class J - Hedged	<input type="text"/>	GBP
Share Class J – Hedged	<input type="text"/>	JPY
Share Class J - Hedged	<input type="text"/>	SEK
Share Class J - Hedged	<input type="text"/>	NOK
Share Class J - Hedged	<input type="text"/>	CHF

If you already invested in William Blair SICAV, please insert your client account number

I want to invest in and to pay:

(Please state investment amount and currency)

**William Blair Dynamic Diversified Allocation Fund (to continue)**

Share Class R	<input type="text"/>	USD
Share Class R - Hedged	<input type="text"/>	EUR
Share Class R - Hedged	<input type="text"/>	GBP
Share Class R - Hedged	<input type="text"/>	SEK
Share Class R - Hedged	<input type="text"/>	CHF
Share Class Z	<input type="text"/>	USD
Share Class Z - Hedged	<input type="text"/>	EUR
Share Class Z - Hedged	<input type="text"/>	GBP
Share Class Z - Hedged	<input type="text"/>	SEK
Share Class Z - Hedged	<input type="text"/>	NOK
Share Class Z - Hedged	<input type="text"/>	CHF
Share Class Z	<input type="text"/>	AUD
Share Class Z- Hedged	<input type="text"/>	AUD

**Distributing Classes:**

Unless Applicant indicates by checking the box below, their dividends will automatically be reinvested in further Shares of the Sub-Fund in question. If the amount of the dividend payable is less than \$50.00 USD, the dividend will also be reinvested in further Shares of the Sub-Fund in question notwithstanding any other indication to receive cash dividends. Any such Shares will be issued on the date of the payment of the dividends.

Please check this box if you wish to receive cash dividends

If cash dividends were selected, please complete the Bank Account Details Section supplying account details where the dividend payments are to be transferred.

If you already invested in William Blair SICAV, please insert your client account number

**Name for registration**

<b><u>Investor section</u></b>	
Name	
_____	
Names and signatures of authorised signatories	
_____	
Name	Signatures
_____	_____
_____	
Source of funds	
_____	
Source of wealth:	
_____	
Profession:	
_____	

**Address for registration**

Registered office:	
_____	
_____	
Postcode	
_____	
Mailing address (if different from Registered Office):	
_____	
_____	
Postcode	
_____	
Tel no.	Fax no.
_____	_____

**Bank account details**

Please give details of your own bank account. Proceeds of any sale and dividend payments (if applicable) will be sent to this account by telegraphic transfer at your cost. Note that bank account changes require the signatures of all shareholders on the account.

Name of bank	SWIFT code
_____	_____
Address	
_____	
_____	
Post Code	
_____	
Account no.	
_____	
IBAN	
_____	
Account Name	
_____	
Reference	
_____	

**Financial adviser details** (please complete if submitting this application through a financial adviser)

Name of adviser	
Name of company	
Address	
	Postcode
Tel no.	Fax no.

**Financial adviser stamp**

<p>Details of licence or other designation assigned by regulatory body (please provide copy, if not already provided) :</p> <p>_____</p> <p>Applicable domestic Anti-Money Laundering laws (if acting as agent) :</p> <p>_____</p>
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**Fight against Money Laundering and Terrorist Financing**

<p>Pursuant to international rules and Luxembourg laws and regulations (comprising but not limited to the law of 12 November 2004 on the fight against money laundering and financing of terrorism, as amended) as well as circulars of the CSSF, obligations have been imposed on all professionals of the financial sector to prevent the use of undertakings for collective investment for money laundering and financing of terrorism purposes. As a result of such provisions, the Registrar And Transfer Agent of a Luxembourg undertaking for collective investment must ascertain <i>inter alia</i> the identity of the subscriber. The Registrar And Transfer Agent may <i>inter alia</i> require subscribers to provide acceptable proof of identity and for subscribers who are legal entities, an extract from the register of companies or articles of incorporation or other official documentation as required. In any case, the Registrar And Transfer Agent may require, at any time, additional documentation to comply with applicable legal and regulatory requirements.</p>
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### Institutional investor quality

The Undersigned declares that it is an institutional investor as this term is understood pursuant to the Luxembourg laws and regulations.

Please tick off the appropriate box below to identify the type of institutional investor based on the identification documents provided.

1. Institutional investors *stricto sensu*, such as banks and other professionals of the financial sector, insurance and reinsurance companies, social security institutions and pension funds, industrial, commercial and financial group companies, all subscribing on their own behalf, and the structures which such institutional investors put into place for the management of their own assets.

2. Credit institutions and other professionals of the financial sector investing in their own name but on behalf of institutional investors as defined under 1. above.

3. Credit institutions or other professionals of the financial sector established in Luxembourg or abroad which invest in their own name but on behalf of their non institutional clients on the basis of a discretionary management mandate.

4. Collective investment undertakings established in Luxembourg or abroad, even if its investors may not be regarded as being institutional investors.

5. Holding companies or similar entities, whether Luxembourg-based or not, whose shareholders are institutional investors as described in the foregoing paragraphs.

6. Territorial administrative bodies (e.g. regions, provinces, cantons, communes, municipalities), in so far as these invest their own funds.

In addition, the following types of investors could eventually qualify as institutional investors:

7. A holding company or company of similar nature, established in Luxembourg or abroad, even if its own shareholders are not institutional investors. It is however required that it is a holding company, or similar company, which has a real substance, and a proper structure and activity in the sense that it holds important financial interests; and

8. A “family” type holding company or company of similar nature, established in Luxembourg or abroad, even if its own shareholders are not institutional investors. It is however required that it is a holding company, or similar company, by which a family or a branch of a family holds important financial interests.

## Identification

The following list of documents is not exhaustive and may change from time to time. In any case, the Registrar and Transfer Agent reserves the right to request additional documents if necessary.

### Natural Persons

- Application Form duly completed and signed by the Applicant
- Certified true copy of a valid identity document (i.e: passport or identity card)

### Legal entities (different from a bank, financial institution or insurance company)

- Application Form duly completed and signed by the Applicant
- Certified true copy of the articles of association and a recent extract (less than six months old) from the Trade Register
- Original or certified true copy of a current and dated list on company letterhead of all authorized signatories
- Certified true copy of a valid identity document (i.e: passport or identity card) of authorised signatories
- List of shareholders who hold more than 25% of the capital and for each shareholder, the identification documents (natural person or legal entity) are also required
- List of directors and executives
- Latest financial or equivalent report

### Bank, financial institution or insurance company based in and supervised by a Member State of the European Union, the Economic Area or any other country that imposes equivalent obligations within the meaning of the amended Law of 12 November 2004 as amended on the combating of money laundering and the financing of terrorism

- Application Form duly completed and signed by the Applicant
- Evidence of the regulation via webpage of the supervisory authority
- Original or certified true copy of a current and dated list on company letterhead of all authorized signatories

Please contact the Administrator for further information on the list of identification documents required if the Applicant is different than the above.

### Certified true copy

*Only bodies authorised in their respective countries can be accepted as certifying bodies. As a general rule, documents can be certified by the competent authority who issued them. Self certifications are not accepted except for corporate documents such as signatory lists, which have been prepared by the company itself and do not need to be registered with public authorities.*

*Certified true by a local competent authority (i.e. notary public, embassy/consulate, a public authority such as a ministry/city hall, police officer, authorized signatory from a bank or a financial institution regulated in an equivalent country, lawyers/solicitors authorized by the law society must be performed by an independent source. Certification needs to be dated less than 6 months.*

### Facsimile indemnity

I want to give instructions to you by facsimile.

(If not, please tick this box )

This indemnity relates to holdings of shares of the Company, William Blair SICAV, in accounts in my name or in respect to which I am authorised to give instructions.

I want to have the flexibility to give instructions to you by facsimile. I acknowledge that facsimile transmission is not a secure form of communication and gives rise to higher risks of manipulation or attempted fraud. Facsimiles may also be of poor quality and thus unclear.

Therefore, in consideration of your agreement, at my request, to act upon receipt of facsimile instructions with respect to such accounts:

a) until you receive written notice to the contrary, I authorise you to act upon such instructions without any reference to or further authority from me and without enquiry whatsoever, provided that such instructions are or are seen to be given by me or by persons who have been notified to you for the purpose in the manner agreed between us; and

b) I agree to keep you and the Company indemnified from and against all liabilities, losses, costs, actions, proceedings, claims and demands which may be incurred by or brought or made against you or the Company arising directly or indirectly from you having acted upon such instructions in the circumstances referred to in (a) above. If this indemnity is given by more than one holder, it will be joint and several.

This indemnity is given for the benefit of the Company and the Central Administration Agent of the Company, Citibank Europe plc (Luxembourg branch). The indemnity is governed by and shall be interpreted in accordance with Luxembourg law. The courts of the Grand duchy of Luxembourg will have exclusive jurisdiction of all disputes arising from this indemnity.

### Data Protection and Data Processing

Personal data relating to investors, including, but not limited to, the name, address and amount invested by each investor, may be collected, recorded, stored, adapted, transferred or processed and used by the Company, the Registrar and Transfer Agent (the "**Delegate**") or any other person which provides services to the Company and the financial intermediaries of these investors.

Such data may in particular be used for the accounting and administration of the fees of the business providers, identification obligations required by the legislation relating to the combating of money laundering and the financing of terrorism, the maintaining of the shareholders' register, the processing of subscription, redemption and conversion orders and the payment of dividends to shareholders and of targeted services provided to clients. Such information shall not be sent to unauthorised third parties.

The Delegate may in turn sub-delegate, at its own responsibility, certain processes to administrative support providers and processors who may or may not be part of the Citibank group, located within or outside the European Economic Area (the "EEA"). Whenever the personal data is sent to a country that does not offer personal data protection equivalent to that in the European Union, the Delegate shall, by means of an agreement with its agent, ensure that the administrative support providers and processors are subject to equivalent obligation as the ones of the Delegate.

However the investor acknowledges that due to the fact that the information is transferred electronically and made available outside of Luxembourg, the same level of confidentiality and the same level of protection in relation to data protection regulation as currently in force in Luxembourg may not be guaranteed while the information is kept abroad in spite of the agreements that the Delegates will enter with its agents.

Investors may access their personal information and may request rectification of any inaccurate, incomplete or incorrect information.

By subscribing to the Shares, each Shareholder consents to: (i) to the contents of the privacy notice attached hereto at Appendix I, as amended from time to time (the "Privacy Notice"); and (ii) the retention and processing of said personal data by the Delegate and its affiliates for the purposes of maintaining a register of investors providing subscription and redemption of Shares and (1) to the transfer of such data to other companies or entities within the Citibank group, including its offices outside the EEA; and (2) to the transfer of such data to third party companies or entities including their offices outside the EEA where the transfer is necessary for the maintenance of records, administrations or provision of services in relation to any investment product or services of any group of companies. The transfer of the data may be to a country which does not have equivalent data protection to that of the EEA.

## Declaration

The Undersigned declares that it is aware that:

1. Subscriptions received by the Central Administration Agent or the Custodian before 16.00 p.m. (Luxembourg time) on a Subscription Date in Luxembourg shall be settled at the NAV per share in effect on that Subscription Date.
2. The Company may reject at its sole discretion and for any reason any application for subscription of Shares in whole or in part.
4. This Subscription Form will be legally binding only when it is signed by the Undersigned, and the subscription is accepted by the Company.
5. Correspondence will be sent to the corporate correspondence address noted above unless otherwise notified by the Undersigned in writing from time to time.

The Undersigned further declares that:

6. The execution of this Subscription Form and acceptance of the Subscriber's subscription do not and will not violate any law, regulation, ordinance, charter, by-law, article of association or rule applicable to the Subscriber or any agreement by which the Subscriber is bound or by which any of its assets are affected.
7. It has received and reviewed the latest Prospectus of the Company (and, where relevant, financial reports and any other documents required under any applicable laws or regulations), which it accepts in full, and it understands and considers that it can bear the risks.
8. It declares having received and understood the key investor information document of the relevant fund and class(es) of shares in good time before subscribing for shares. It explicitly consents to the provision of the key investor information document on the website: [http://sicav.williamblairfunds.com/investor\\_services/prospectus\\_forms\\_reports\\_fs](http://sicav.williamblairfunds.com/investor_services/prospectus_forms_reports_fs) and hereby confirms that it will obtain and read the latest version of the appropriate KIID prior to any subsequent investment. For the avoidance of doubt, its right to request a paper copy of key investor information documents free of charge remains unaffected.
9. It consents to the processing of its personal data in the way as indicated under the section "Data Processing" of this application form and specifically agrees to the transfer of its data to other companies or entities within the Citibank group or, to the extent necessary, to third party companies, in both cases including their offices outside the EEA. It acknowledges that countries outside the EEA may have data protection less than equivalent to that of the EEA.
10. It has been given the opportunity to ask questions of, and receive answers from, the Company and/or its duly appointed agents with respect to the activities and affairs to be conducted by the Company, the terms and conditions of the offering of the Company's Shares and other matters pertaining to an investment in the Company and to evaluate the merits and risks of an investment in the Company and make an investment decision in relation thereto.
11. It has relied upon its own independent tax, investment and legal advice.

Finally, the Subscriber declares that:

12. It is not a US Person as such term is defined in Rule 902 of Regulation S promulgated under the US Securities Act of 1933, as amended (the "Securities Act").
13. It is dealing on its own behalf and will be the beneficial owner of the Shares. To the extent that it is acting on behalf of any other party, the subscriber will provide the same documentation/warranties for the final beneficiaries.

**Signatures**

- All joint applications must sign in the same order as above. For corporations, an authorised official must sign the form.
- I consent to Citibank Europe plc (Luxembourg Branch) and any relevant paying agent processing this application and any further requests from me for the purchase, sale or switching of shares.
- I confirm that I am over 18 years of age and that I have fully read and understood this application.
- I confirm that the information I have provided is correct.

Signature

Date

Signature

Date

Signature

Date

Signature

Date

**APPENDIX I**

**WILLIAM BLAIR SICAV  
(the “Company”)  
PRIVACY NOTICE**

The purpose of this notice is to provide you with information on our processing of personal data provided by you to the Company or obtained by the Company from you or through a third party in accordance with the Regulation (EU) 2016/679 of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (the “GDPR” and together with any other applicable national laws and regulations, the “Data Protection Law”).

This notice explains how the Company processes personal data about investors (which may include non-individual investors subscribing in their capacity as nominees, intermediaries, authorised participants or in other such capacities) and, if applicable, individuals who invest in the Company or who apply to invest in the Company. For such purposes, the Company is the data controller.

Where the applicant, or investor, is a non-individual investor, then the Company will process personal data about the directors, officers, trustees, employees, representatives, shareholders, investors, clients and ultimate beneficial owners or agents of the non-individual investor. This notice also explains how the Company processes personal data about these individuals and you should transmit this notice to such individuals or otherwise advise them of its content.

In this privacy notice, “you” is used to refer to any of these people.

**What personal data does the Company process?**

By applying for an investment or when making an investment in the Company, you will provide the Company with information that qualifies as personal data within the meaning of the Data Protection Law.

This includes information such as name, address, email address, date of birth, telephone numbers, business contact information, current employment, career history, current investments, historic investments, investment preferences and credit history, details relating to investment activity, contact and payment details, from which a data subject can be directly or indirectly personally identified, and includes information such as identification and account numbers and online identifiers.

Please note that we may also process personal data obtained by the Company through public sources.

**Why does the Company process personal data?**

The Company processes personal data:

- i. where this is required by law:
  - in relation to the prevention of money laundering and terrorism financing and the prevention and detection of crime and fraud that require the Company (either directly or through its administrator) to screen your application against sanctions lists, as well as against other information obtained from publicly available sources and including information about criminal convictions;
  - to disclose information to regulators, government bodies and tax authorities. This includes the disclosure to the Luxembourg Commission de Surveillance du Secteur Financier, the US Securities and Exchange Commission and the US Internal Revenue Service and other regulators and authorities as may be required from time to time for compliance with the US Foreign Account Tax Compliance Act (FATCA) and the EU Directive 2014/107/EU on the automatic exchange of information in the field of taxation.
- ii. where the processing is necessary for the Company to perform a contract with you, or to take steps at your request prior to entering into a contract:
  - the Company will process your subscription application, administer your investment in shares of the Company and will maintain a shareholders' register to reflect your ownership of shares in the Company;
  - to process, manage and administer the investor's Share(s) and any related accounts on an on-going basis;
  - the Company will inform you about your investment in Shares of the Company;
  - to fulfill the terms and conditions of, and any services required by, you in relation to the account application form and the holding of the Share(s) and to execute all tasks that are carried out under the account opening form and in relation to your Share(s);
- iii. where the processing is in the legitimate interests of the Company, or another person, unless your interests, fundamental rights or freedoms outweigh these interests and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such uses. These interests are:
  - protecting the rights and property of the Company or its affiliates;
  - statistical analysis and market research, including for direct marketing purposes (providing information on products and services);

- protecting the security of the Company and its service provider's information technology; and
- preventing and detecting fraud.

### **With whom does the Company share personal data?**

The Company shares your personal data with:

- the investment manager of the Company and other affiliates of the investment manager;
- the management company of the Company (FundRock Management Company S.A.);
- where applicable, the board of directors of the Company;
- the service providers of the Company, including the administrator, registrar, transfer agent, company secretary and depositary for the Company, and companies which such service providers appoint to assist them in administering the Company. These service providers may each further process personal data, acting as a data processor, for the provision to the Company of the services agreed under the relevant agreements;
- the service provider which carries out sanctions checks on the Company's behalf. This service provider may also keep personal data which the Company provides, or which you provide to it direct, in order for it to provide identity verification services to other organisations; and
- regulators, government bodies and tax authorities.

### **Transfers of personal data**

The Company may transfer personal data to countries outside the European Economic Area (the "EEA") which may not be deemed by the EU to have the same level of protection as EU data protection law. The Company ensures that such transfers are made in accordance with the conditions in the Data Protection Law (such as they are protected by standard contractual clauses, or ensures that the recipient of the personal data participates in the EU-US Privacy Shield or uses Binding Corporate Rules).

You may obtain a copy of these safeguards by contacting the Company at its registered address: 31, Z.A. Bourmicht, L-8070 Bertrange, Grand Duchy of Luxembourg, Luxembourg R.C.S: B-98 806.

**Personal data protection measures**

The Company applies data security measures aimed at protecting personal data from unauthorised third party access under any form. The Company will inform you in case of personal data breach in relation to your personal data.

**For how long does the Company process personal data?**

The Company keeps your personal data for the purposes outlined above and for as long as you are invested in the Company. After you fully redeem your investment in the Company and unless the Company is obliged to hold it for a shorter or longer period under applicable law, we may keep your personal data for up to 10 years after that date in order to maintain records in accordance with laws and regulations which apply to the Company and to respond to any regulatory requests or questions.

To the extent we are not permitted to delete your data for legal, regulatory or technical reasons we may keep your data for longer than 10 years. In such circumstances, we will ensure your data and privacy is protected.

**Your rights**

You have a right to access your personal data and, if it is inaccurate, to request corrections to it. You may also ask the Company to transfer some of your personal data to other organisations, in structured and machine readable form.

You have a right to ask the Company to erase or ‘restrict’ your personal data in some circumstances. Where the Company has asked for your consent to process your personal data you may withdraw your consent at any time. However, if this means that the Company cannot carry out its obligations to conduct sanctions checks, then it will not be able to process your application. If you withdraw consent, this will not affect the lawfulness of any processing which the Company has already carried out. Where the Company processes personal data because the processing is in its, or a third parties, legitimate interests, then you may object to this processing.

Investors also have a right to complain to a supervisory authority for data protection. This may either be the supervisory authority in the place of your habitual residence, your place of work, or the place where you consider that there has been a breach of data protection law. In Luxembourg, the supervisory authority is the Commission nationale pour la protection des données. These rights may be limited – for example, where the Company is required by law to process your personal data. Where the Company requires must process personal data in order to comply with law or in order to perform or enter into a contract with you, then the Company will not be able to process your application unless the personal data are provided.

**Contact information**

To exercise any of these rights you should contact the Company at its registered address: 31, Z.A. Bourmicht, L-8070 Bertrange, Grand Duchy of Luxembourg, Luxembourg R.C.S: B-98 806.

A copy of this privacy notice is available at:

[https://sicav.williamblair.com/investor\\_services/prospectus\\_forms\\_reports.fs](https://sicav.williamblair.com/investor_services/prospectus_forms_reports.fs).

This privacy notice may be amended from time to time and a copy of the most recent version of this privacy notice can be obtained from the Company at its registered address: 31, Z.A. Bourmicht, L-8070 Bertrange, Grand Duchy of Luxembourg, Luxembourg R.C.S: B-98 806.

Before investing in the Company, you should also carefully read and consider the privacy disclosures contained in your account opening form and in the Company's prospectus.

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